

Import Documentary Collection Liquidation User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import Documentary Collection Liquidation

Import Documentary Collection Liquidation process facilitates the user to handle the payment under a Documentary Collection.

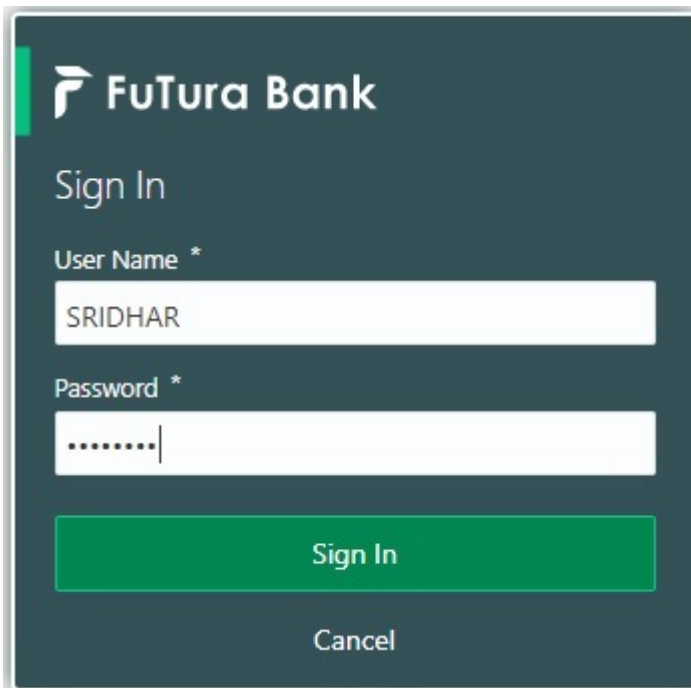
This section contains the following topics:

Registration	Data Enrichment
Exceptions	Multi Level Approval
Reject Approval	

Registration

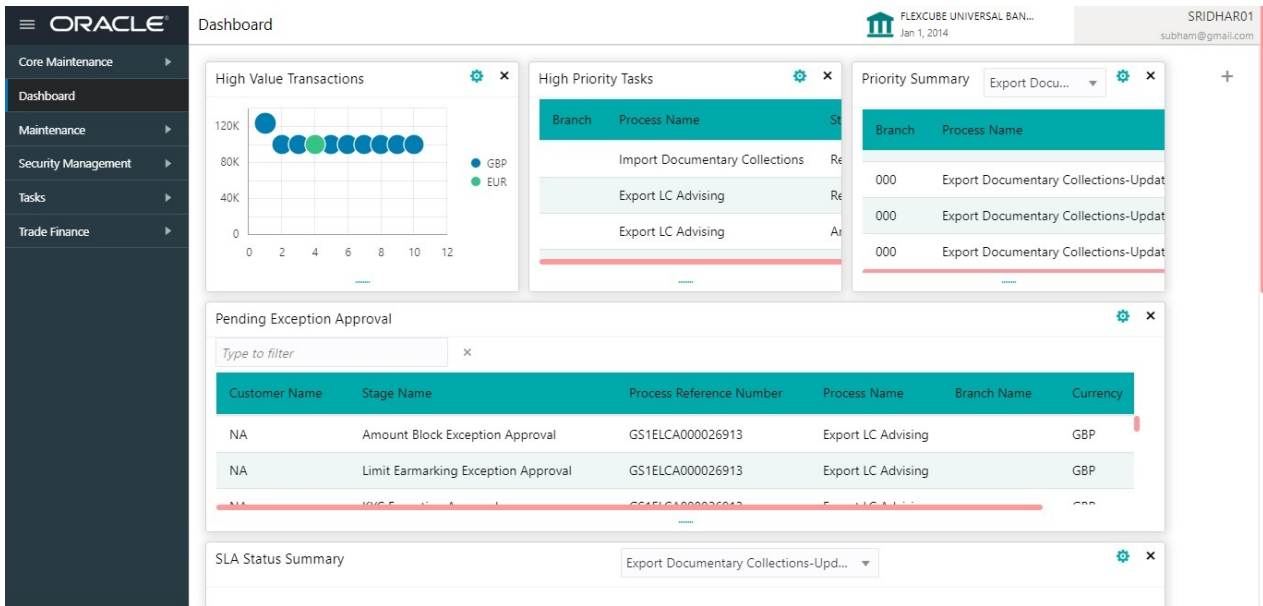
The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

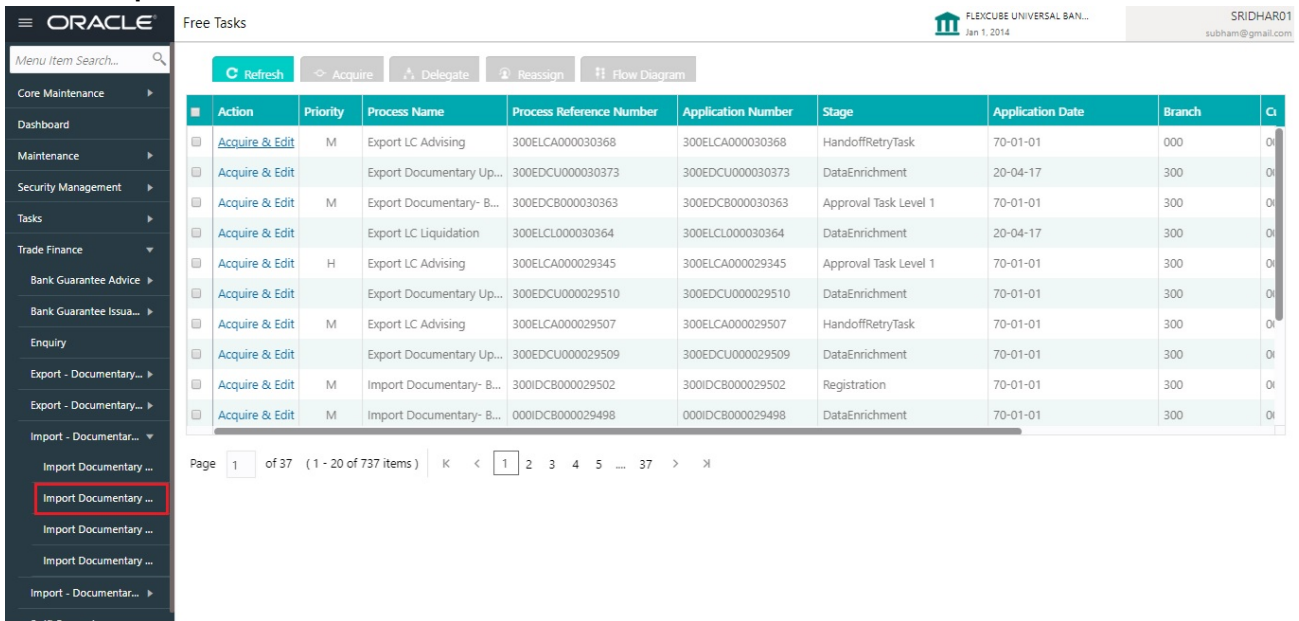


The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. Below the input fields are two buttons: 'Sign In' and 'Cancel'.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Import Documentary Collection> Import Documentary Collection Liquidation.



The registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Application Details

ORACLE Free Tasks
FLEXCUBE UNIVERSAL BAN... SRIDHAR01
Jan 1, 2014 subham@gmail.com

Import Documentary Collection Liquidation Documents Remarks

Application Details

Documentary Collection Number * 000ICLC140011001	Drawee * 000020 Mitsubishi Corp	Branch 000-FLEXCUBE UNIVERSAL BANK	Priority Medium
Submission Mode Desk	Remitting Bank/Remitter 000247 CITI BANK	Remitting Bank/Remitter Reference 123	Process Reference Number 000ICLC000030383
Remitting Bank Date/Remitting Date * Jan 1, 2014	Liquidation Date * Jan 1, 2014	Version Number 1	

View Collection Events

Collection Details

Documents Received First	Tenor * Sight	Product Code * ICLC	Product Description INCOMING CLEAN SIGHT BILLS NOT U
Operation Type * COL	Stage * FINAL	Co Acceptance Required <input type="checkbox"/>	Contract Reference Number 000ICLC140011001
Bill Amount * GBP £10.00	Bill Outstanding Amount GBP £10.00	Liquidation Amount * GBP £10.00	Finance Amount GBP
Drawer * 000263 PROVCURR			

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	Provide the Documentary Collection Number. Alternatively, user can search the Documentary Collection Number using LOV. In the LOV, user can search giving any of the combination of details of Documentary Collection Number, Customer ID, Beneficiary, Currency, Amount and Value Date to fetch the collection details. Based on the search result, select the applicable documentary collection.	
Drawee ID	Read only field. Drawee ID will be auto-populated based on the selected Documentary Collection Number.	
Drawee Name	Read only field. Drawee Name will be auto-populated based on the selected Documentary Collection Number.	
Branch	Read only field. Branch details will be auto-populated based on the selected Documentary Collection Number.	203-Bank Futura -Branch FZ1
Bill Currency and Amount	Read only field. Bill currency and amount will be auto-populated based on the selected Documentary Collection Number.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated unique OBTFPM task reference number.	

Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export Collection Liquidation request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Liquidation Date	By default, the application will display branch's current date.	04/13/2018
Remitter Date	Read only field. Remitter Date will be auto-populated based on the selected Documentary Collection Number.	
Version Number	This field displays the latest version of the bill.	

Collection Details

Registration user can provide collection details in this section. Alternately, details can be updated by Data Enrichment user.

Application Details

Documentary Collection Number *
000CLC140011001

Drawee *
000020 Mitsubishi Corp

Branch
000-FLEXCUBE UNIVERSAL BANK

Priority
Medium

Submission Mode
Desk

Remitting Bank/Remitter
000247 CITI BANK

Remitting Bank/Remitter Reference
123

Process Reference Number
000IDCL000030383

Remitting Bank Date/Remitting Date *
Jan 1, 2014

Liquidation Date *
Jan 1, 2014

Version Number
1

Collection Details

Documents Received
First

Tenor *
Sight

Product Code *
ICLC

Product Description
INCOMING CLEAN SIGHT BILLS NOT U

Operation Type *
COL

Stage *
FINAL

Co Acceptance Required

Contract Reference Number
000CLC140011001

Bill Amount *
GBP £10.00

Bill Outstanding Amount
GBP £10.00

Liquidation Amount *
GBP £10.00

Finance Amount
GBP

Drawer *
000263 PROVCURR

Buttons: View Collection, Events, Hold, Cancel, Save & Close, Submit

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Operation Code	Read only field. Operation code will be auto-populated based on the selected Documentary Collection Number.	
Documents Received	Read only field. Documents received details will be auto-populated based on the selected Documentary Collection Number.	
Tenor	Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number.	
Product Code	Read only field. Product code will be auto-populated based on the selected Documentary Collection Number.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Contract Reference Number	System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
Drawer ID	Read only field. Drawer ID will be auto-populated based on the selected Documentary Collection Number.	
Drawer Name	Read only field. This field displays the Drawer Name as per the Drawee ID.	
Bill Outstanding Amount	Read only field. Bill Outstanding Amount will be auto-populated based on the selected Documentary Collection Number from the LOV.	
Liquidation Amount	Provide the bill amount to be liquidated.	
Finance Amount	Read only field. Finance Amount will be auto-populated based on the selected Documentary Collection Number.	
Co-Acceptance Required	Read only field. Co-Acceptance Required will be auto-populated based on the selected Documentary Collection Number.	

Miscellaneous

ORACLE Free Tasks
FLEXCUBE UNIVERSAL BAN... SRIDHAR01
Jan 1, 2014 subham@gmail.com

Import Documentary Collection Liquidation

Documents
Remarks

Application Details

Documentary Collection Number * 000ICLC140011001	Drawee * 000020 Mitsubishi Corp	Branch 000-FLEXCUBE UNIVERSAL BANK	Priority Medium
Submission Mode Desk	Remitting Bank/Remitter 000247 CITI BANK	Remitting Bank/Remitter Reference 123	Process Reference Number 000IDCL0000303983
Remitting Bank Date/Remitting Date * Jan 1, 2014	Liquidation Date * Jan 1, 2014	Version Number 1	

View Collection
Events

Collection Details

Documents Received First	Tenor * Sight	Product Code * ICLC	Product Description INCOMING CLEAN SIGHT BILLS NOT U
Operation Type * COL	Stage * FINAL	Co Acceptance Required <input type="checkbox"/>	Contract Reference Number 000ICLC140011001
Bill Amount * GBP £10.00	Bill Outstanding Amount GBP £10.00	Liquidation Amount * GBP £10.00	Finance Amount GBP
Drawer * 000263 PROVCURR			

Hold
Cancel
Save & Close
Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Import Documentary Collection Liquidation.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.</p> <div style="border: 1px solid #ccc; padding: 10px; margin-top: 10px;"> <p>Checklist</p> <p>Registration</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Application signed and stamped Remarks <input checked="" type="checkbox"/> Any correction or alteration initialled by the app Remarks <input type="checkbox"/> Amount in words and numbers are matching Remarks <input checked="" type="checkbox"/> LC amt and ccy are uniform across the application Remarks <input checked="" type="checkbox"/> customer signature verified Remarks <p style="text-align: right; margin-top: 10px;"> Save Checklist X Close </p> </div>	

Action Buttons

Field	Description	Sample Values
Submit	On submit, task will get moved to next logical stage of Import Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Liquidation Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Data Enrichment

On successful completion of registration of an Import Documentary Collection Liquidation, the request moves to data enrichment stage. In this stage the gathered information during registration are scrutinized.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the profile.

The screenshot shows the Oracle dashboard interface. The left sidebar contains navigation options: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance. The main content area displays several widgets:

- High Value Transactions:** A bubble chart showing transaction values for GBP and EUR across a range of 0 to 12.
- High Priority Tasks:** A table listing tasks such as 'Import Documentary Collections', 'Export LC Advising', and 'Export LC Advising' with columns for Branch and Process Name.
- Priority Summary:** A table showing task details with columns for Branch, Process Name, and Currency.
- Pending Exception Approval:** A table with a search filter and columns for Customer Name, Stage Name, Process Reference Number, Process Name, Branch Name, and Currency. It lists entries like 'Amount Block Exception Approval' and 'Limit Earmarking Exception Approval'.
- SLA Status Summary:** A summary widget with an 'Export Document...' button.

3. Click Trade Finance> Tasks> Free Tasks.

The screenshot shows the 'Free Tasks' page in Oracle. The left sidebar highlights 'Free Tasks' under the 'Tasks' category. The main content area features a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Count. The table contains 12 rows of task data.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Count
Acquire & Edit		Import LC Update Draw...	300ILCU000030389	300ILCU000030389	Scrutiny	20-04-17	300	01
Acquire & Edit		Import Documentary Li...	000IDCL000030383	000IDCL000030383	DataEnrichment	20-04-17	000	01
Acquire & Edit		Import Documentary U...	300IDCU000029523	300IDCU000029523	DataEnrichment	70-01-01	300	01
Acquire & Edit	M	Export LC Advising	300ELCA000029521	300ELCA000029521	Registration		000	01
Acquire & Edit	H	Export LC Advising	300ELCA000029512	300ELCA000029512	HandoffRetryTask	70-01-01	300	01
Acquire & Edit	M	Export LC Advising	300ELCA000030375	300ELCA000030375	Limit Earmark Exception App...	70-01-01	000	01
Acquire & Edit	M	Export LC Drawing	300ELCD000030370	300ELCD000030370	Approval Task Level 1	70-01-01	300	01
Acquire & Edit	M	Export LC Advising	000ELCA000029518	000ELCA000029518	Registration		000	01
Acquire & Edit	M	Export Documentary- B...	300EDCB000030377	300EDCB000030377	DataEnrichment	20-04-17	300	01
Acquire & Edit	M	Export LC Advising	300ELCA000030368	300ELCA000030368	HandoffRetryTask	70-01-01	000	01

Page 1 of 38 (1 - 20 of 743 items) | K < 1 2 3 4 5 ... 38 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Acquire & Edit		Import LC Update Draw...	300ILCU000030389	300ILCU000030389	Scrutiny	20-04-17	300	000020
Acquire & Edit		Import Documentary Li...	000IDCL000030383	000IDCL000030383	DataEnrichment	20-04-17	000	000020
Acquire & Edit		Import Documentary U...	300IDCU000029523	300IDCU000029523	DataEnrichment	70-01-01	300	000020
Acquire & Edit	M	Export LC Advising	300ELCA000029521	300ELCA000029521	Registration		000	000020
Acquire & Edit	H	Export LC Advising	300ELCA000029512	300ELCA000029512	HandoffRetryTask	70-01-01	300	000020
Acquire & Edit	M	Export LC Advising	300ELCA000030375	300ELCA000030375	Limit Earmark Exception App...	70-01-01	000	000020
Acquire & Edit	M	Export LC Drawing	300ELCD000030370	300ELCD000030370	Approval Task Level 1	70-01-01	300	000020
Acquire & Edit	M	Export LC Advising	000ELCA000029518	000ELCA000029518	Registration		000	000020
Acquire & Edit	M	Export Documentary - B...	300EDCB000030377	300EDCB000030377	DataEnrichment	20-04-17	300	000020
Acquire & Edit	M	Export LC Advising	300ELCA000030368	300ELCA000030368	HandoffRetryTask	70-01-01	000	000020

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to update the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit		Import Documentary Li...	000IDCL000030383	000IDCL000030383	DataEnrichment	20-04-17	000	000020
Edit	M	Import Documentary - B...	000IDCB000030049	000IDCB000030049	Registration	20-04-16	000	000947
Edit	M	Export Documentary Re...	000EDCR000029935	000EDCR000029935	Approval Task Level 1	70-01-01	000	000009

The data enrichment stage has the following hops for data capture:

- Main Details
- Liquidation
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from registration stage may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

The screenshot shows the Oracle application interface for 'Import Documentary Liquidation - DataEnrichment'. The 'Application Details' section is highlighted with a red border. The fields in this section are:

Documentary Collection Number *	Drawee *	Branch	Priority
000ICLC140011001	000020 Mitsubishi Corp	000-FLEXCUBE UNIVERSAL BANK	Medium
Submission Mode	Remitting Bank/Remitter	Remitting Bank/Remitter Reference	Process Reference Number
Desk	000247 CITI BANK	123	000IDCL000030383
Remitting Bank Date/Remitting Date *	Liquidation Date *	Version Number	
Jan 1, 2014	Jan 1, 2014	1	

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During registration, if user has not captured details, then user can capture the details in this section.

The screenshot shows the Oracle application interface for 'Import Documentary Liquidation - DataEnrichment'. The 'Collection Details' section is highlighted with a red border. The fields in this section are:

Documents Received	Tenor *	Product Code *	Product Description
First	Sight	ICLC	INCOMING CLEAN SIGHT BILLS NOT U
Operation Type *	Stage *	Co Acceptance Required	Contract Reference Number
COL	FINAL	<input type="radio"/>	000ICLC140011001
Bill Amount *	Bill Outstanding Amount	Liquidation Amount *	Finance Amount
GBP £10.00	GBP £10.00	GBP £10.00	GBP
Drawer *			
000263 PROVCURR			

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will move to next logical stage of Import Documentary Collection Liquidation.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	<p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>Click Next to move to next logical screen of Data Enrichment stage.</p>	

Liquidation

Liquidation hop enables the user to view Documents, Shipment, Maturity Details and Draft Details to process the liquidation.

Document Details

This section displays details of the updated during from Import Collection Booking.

Field	Description	Sample Values
Document Code	Read only field. This field displays value from Import Collection Booking.	
Document Description	Read only field. This field displays value from Import Collection Booking.	
Document Reference	Read only field. This field displays value from Import Collection Booking.	

Field	Description	Sample Values
Received - Original	Read only field. This field displays value from Import Collection Booking.	
Received - Copy	Read only field. This field displays value from Import Collection Booking.	

Shipment Details

User can view the shipment details updated during Import Collection Booking.

Shipment Details

This section displays the shipment details from Import Collection Booking:

Field	Description	Sample Values
Date Of Shipment	Read only field. This field displays date of shipment from Import Collection Booking.	
Place Of Taking In Charge	Read only field. This field displays value from Import Collection Booking.	
Port Of Loading	Read only field. This field displays value from Import Collection Booking.	
Port Of Discharge	Read only field. This field displays value from Import Collection Booking.	
Place Of Final Delivery	Read only field. This field displays value from Import Collection Booking.	
Carrier Name	Read only field. This field displays value from Import Collection Booking.	

Field	Description	Sample Values
Shipping Agent	Read only field. This field displays value from Import Collection Booking.	
Shipping Agent Address	Read only field. This field displays value from Import Collection Booking.	
Transshipment	Read only field. This field displays value from Import Collection Booking.	
Partial Shipment	Read only field. This field displays value from Import Collection Booking.	

Goods Details

This section displays the goods details from Import Collection Booking:

The screenshot shows a 'Shipment Details' window with the following fields and sections:

- INCO Terms**: A search field with a magnifying glass icon.
- INCO Terms Description**: A text input field.
- 45A Description of Goods and/or Services**: A table with columns for Goods Code, Goods Type, and Goods Description. The first row shows 'UPLD_GOODS_1' and 'Allowed Freely'.
- Country of Origin**: A text input field.
- Insurance Company Code**: A search field with a magnifying glass icon.
- Insurance Company**: A text input field.
- Policy Number**: A text input field.
- Insurance Company Address**: A text input field.
- Buttons**: 'Save & Close' and 'Close' buttons at the bottom right.

Field	Description	Sample Values
INCO Terms	This field displays the INCO Terms from Import Collection Booking.	
Goods Code	This field displays the goods code from Import Collection Booking.	
Goods Description	This field displays the goods description from Import Collection Booking.	
Country of Origin	This field displays the country of origin from Import Collection Booking.	
Insurance Company Code	This field displays the insurance company code from Import Collection Booking.	
Insurance Company	This field displays the insurance company details from Import Collection Booking.	

Field	Description	Sample Values
Insurance Company Address	This field displays the insurance address from Import Collection Booking.	

Multimodal/Transshipment Details

This section displays the multimodal/transshipment details from Import Collection Booking.

Field	Description	Sample Values
Carrier Name	This field displays the carrier name from Import Collection Booking.	
Port	This field displays the port details from Import Collection Booking.	

Maturity Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. Tenor type will be auto-populated from Import Collection Booking Update.	
Tenor Basis	Read only field. Tenor Basis will be auto-populated from Import Collection Booking Update.	
Tenor Start Date	Read only field. Tenor Start Date will be auto-populated from Import Collection Booking Update.	
Tenor Days	Read only field. Tenor Days will be auto-populated from Import Collection Booking Update.	
Transit Days	Read only field. Transit Days will be auto-populated from Import Collection Booking Update.	

Field	Description	Sample Values
Maturity date	Read only field. Maturity Date will be auto-populated from Import Collection Booking Update.	
Usance Interest Rate	Read only field. Usance Interest Rate will be auto-populated from Import Collection Booking Update.	
Usance Interest Amount	Read only field. Usance Interest Amount will be auto-populated from Import Collection Booking Update.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Read only field. Interest from Date will be auto-populated from Import Collection Booking Update.	
Interest to Date	Read only field. Interest to Date will be auto-populated from Import Collection Booking Update.	
Acceptance Commission From Date	Read only field. Acceptance Commission from Date will be auto-populated from Import Collection Booking Update.	
Acceptance Commission To Date	Read only field. Acceptance Commission to Date will be auto-populated from Import Collection Booking Update.	

Draft Details

This section displays the draft details from the documents submitted under Import Collection Booking Update as per the description in the following table:

Field	Description	Sample Values
Draft Code	Read only field. This field displays the Draft Code.	
Draft Amount	Read only field. This field displays the Draft Amount based on the documents submitted under Import Collection Booking.	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

Advices

Advices menu displays the advices available under this product in the back office as tiles. User can edit the fields in the tile, if required.

ORACLE My Tasks

Import Documentary Liquidation - DataEnrichment : Application No: 000IDCL000030383

Advices

Advice : COLL_PAY_ADV

Advice Name : **COLL_PAY_ADV**
Advice Party : **REMITTING BANK**
Party Name : **CITI BANK**
Suppress : **N**
Advice

Advice : PAYMENT_MESSAGE

Advice Name : **PAYMENT_MESSAGE**
Advice Party :
Party Name :
Suppress : **N**
Advice

Audit

Reject Refer Hold Cancel Save & Close Back Next

Additional Details

Futura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01
subham@gmail.com

Export LC Liquidation - Liquidation :: Application No: GS1ELCLI0024233 Documents Remarks Info

Screen (4 / 5)

- Main Details
- Financing Details
- Maturity Details
- Additional Details**
- Summary

Additional Details

Limit & Collateral Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral Contribution : Collateral Status :	Charge Details Charge : Commission : Tax : Block Status :	Payment Details Immediate Required : Immediate Accept : Reim Claimed :
Settlement Details Component : Account Number : Account Currency : Description :	FX Linkage Reference Number : Currency : Amount :	Loan Preference Loan Tenor : Loan Maturity : Loan Amount :

Reject Hold Cancel Save & Close Back Next

Limits & Collateral

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close Cancel

Limit Details

Customer ID: 001345

Line ID*: 001345

Contribution %*: 100

Contribution Currency: GBP

Limit Currency: GBP

Limit Check Response: Available

Limits Description:

Contribution Amount*: £20,000.00

Limit Available Amount:

Response Message: The Earmark can be performed as the f

Verify

Save & Close Cancel

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted if provided.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	Read only field. System will default this to 100%.	
Contribution Currency	Read only field. The LC currency will be defaulted in this field.	
Contribution Amount	Read only field. Contribution amount will default based on the contribution %.	
Limit Currency	Read only field. Limit Currency will be defaulted in this field.	
Limit Available Amount	Read only field. This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Read only field. Response can be 'Success' or 'Limit not Available'.	
Response Message	Read only field. Detailed Response message.	

This section displays the collateral details:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close Cancel

Collateral Details

Collateral Type *
Cash Collateral

Collateral % *
20

Currency
GBP

Contribution Amount *
£4,000.00

Settlement Account *
20300134600000000017

Settlement Account Branch
203

Settlement Account Currency
GBP

Account Available Amount
£998,926,760.53

Response
Available

Response Message
The amount block can be performed as

Verify

Save & Close Cancel

Field	Description	Sample Values
Collateral Type	Read only field. Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral %	Read only field. This field displays the percentage of collateral.	
Currency	Read only field. The LC currency will get defaulted in this field.	

Field	Description	Sample Values
Contribution Amount	Read only field. Collateral contribution amount will get defaulted in this field.	
Settlement Account	Read only field. This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Read only field. Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Read only field. This field displays the Settlement Account Currency.	
Account Available Amount	Read only field. Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Read only field. Response can be 'Success' or 'Amount not Available'.	
Response Message	Read only field. Detailed Response message.	

Charge Details

This section displays charge details:

Charge Details >

Recalculate
Redefault

▲ Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 <input type="text" value=""/>
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 <input type="text" value=""/>
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 <input type="text" value=""/>

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive

✔ Save & Close
✘ Cancel

Field	Description	Sample Values
Component	Charge Component type.	

Field	Description	Sample Values
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be Drawer by default. You can change the value to Drawee	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	

Field	Description	Sample Values
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	


FX Linkage

This section enables the user to link the existing FX contract(s) to the bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Bill Currency	Read only field. This field displays the currency details from the bill.	
Bill Amount	Read only field. This field displays the bill amount from the drawing.	
Maturity Date	System to display the due date for the bill in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
FX Reference Number	Select the FX contract from the LOV.	
FX Currency	This field displays the currency of the FX contract.	
FX Amount	This field displays the amount of the FX contract.	

Field	Description	Sample Values
FX Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
FX Linkage Amount	<p>If FX contract is linked already for the underlying bill, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount.</p> <p> Note The linkage amount must not be more than the bill amount.</p>	
Rate	This field displays the rate at which the contract is booked.	
Amount in Contract Currency	This field displays the amount in contract currency converted in FX currency.	
Expiry Date	This field displays the expiry date of the contract.	
Delivery Period - From	This field displays the date from which the contract is available for utilization.	
Delivery Period - To	This field displays the date to which the contract is available for utilization.	
Average FX Rate	Average rate for more than one contract if linked.	

Payment Details

Payment Details x

PaymentDetails

Auto Liquidate
 Advance by Loan
 Allow Rollover
 Auto Change from Acceptance to Advance

Liquidate using Collateral
 Outstanding Collateral Amount:


Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
BILL_LIQ_AMTEQ	GBP	Credit	<input type="text" value="000356780180"/>	<input type="text" value="keerthy01"/>	<input type="text" value="000"/>	<input type="text" value="GBP"/>

Preferences

Select the preferences options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	<p>Select Auto Liquidate check box, if required.</p> <p>Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.</p>	

Field	Description	Sample Values
Advance by Loan	Select Advance by Loan check box, if required. Advance by Loan enables creation of loan at the time of Final liquidation.	
Allow Roll over	Select Allow Rollover check box, if required.	
Auto Change from Acceptance to Advance	Select Auto Change from Acceptance to Advance, if required. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.  Note This option is applicable only for the bills that are co-accepted by the bank.	

Cash Collateral Details

Select the cash collateral details options based on the description in the following table:



Note

Cash collateral is applicable only for the bills which are co-accepted by the bank.

Field	Description	Sample Values
Outstanding Collateral Amount	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Liquidate using Collateral	Select Liquidate using Collateral check box, if required.	

Tracers

This section enables the user to view the defaulted Tracer details from back end application. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acknowledgement Tracer and Payment Tracer are applicable for this process.

Tracer Details x

Payment Tracer

Tracer Required

Number of Tracers Tracer Frequency Tracer Medium




Tracer Receiver Party Tracer Start Date


Acknowledgement Tracer

Tracer Required

Number of Tracers Tracer Frequency Tracer Medium

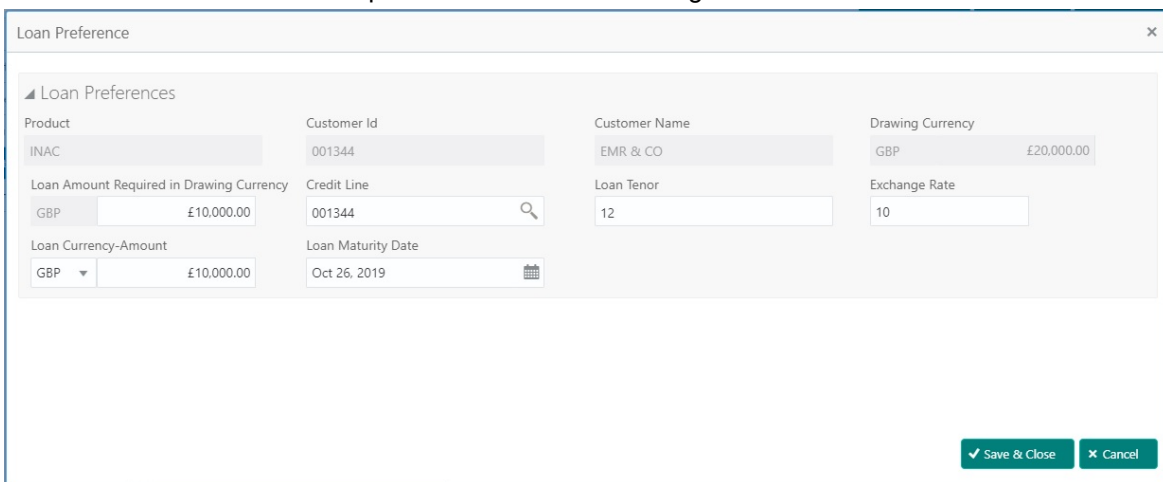
Tracer Receiver Party Tracer Start Date

Field	Description	Sample Values
Tracer Required	Select if Tracer is required or nor from the available drop list: <ul style="list-style-type: none"> • Required • Not required • Till resolved 	
Number of Tracers	Provide the number tracers required.	
Tracer Frequency	Provide the frequency for generation of the Tracer. <p style="text-align: center;">  Note This field is applicable only if Tracer Required has value as Till Resolved. </p>	
Tracer Medium	Select the tracer medium from the LOV. <p style="text-align: center;">  Note This field is applicable only if Tracer Required has value as Required or Till Resolved. </p>	
Tracer Receiver Party	Select the tracer receiver party from the LOV. <p style="text-align: center;">  Note This field is applicable only if Tracer Required has value as Required or Till Resolved. </p>	

Field	Description	Sample Values
Tracer Start Date	<p>Select the Tracer start date. Start date cannot be earlier than the branch date.</p> <p> Note This field is applicable only if Tracer Required has value as Required or Till Resolved.</p>	

Loan Preference

This section enables the user to request for a loan to liquidate the bill under collection. This section will be enabled based on the product selected for booking the bill under collection.



Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Product	<p>Read only field.</p> <p>This field displays the loan product linked to the drawing product.</p>	
Customer ID	<p>Read only field.</p> <p>This field displays the customer ID of the applicant/applicant bank.</p>	
Customer Name	<p>Read only field.</p> <p>This field displays the applicant/applicant bank name.</p>	
Drawing Currency	<p>Read only field.</p> <p>This field displays the currency for the drawing.</p>	
Loan Amount Required in Drawing Currency	<p>Application defaults the drawing outstanding amount and enables the user to reduce the bill outstanding amount.</p>	

Field	Description	Sample Values
Credit Line	Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines.	
Loan Tenor	Application defaults the loan tenor based on the product.	
Exchange Rate	This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.	
Loan Currency-Amount	Select the currency for the loan amount.	
Loan Maturity Date	System defaults the date based on the Loan value date and Loan tenor. User cannot change the value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will move to next logical stage of Import Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

Settlement Details

Provide the settlement details based on the description in the following table:

Settlement Details Screen (4 / 6)

▲ Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
BCCOUR_LIQD	KWD	Debit	GS100002620016	EMR & CO	GBP	
BCLIQCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCOPNGG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCSWIFT_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BILL_AMOUNT	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_AMT_EQUIV	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_LIQ_AMT	GBP	Credit	GS100002610012	HSBC BANK	GBP	
BILL_LIQ_AMTEQ	GBP	Debit	GS100002620016	EMR & CO	GBP	
BKTAX_AMT	GBP	Debit	GS100002620016	EMR & CO	GBP	

▲ BCCOUR_LIQD - Party Details

Transfer Type None	Charge Details Remitter All Charges	Netting Indicator Select	Ordering Customer Name/Account
Ordering Institution Name/Account	Senders Correspondent Name/Account	Receivers Correspondent Name/Account	Intermediary Institution Name/Account
Account With Institution Name/Account	Beneficiary Institution Name/Account	Ultimate Beneficiary Name/Account	Intermediary Reimbursement Institution Name/Account

Payment Details

Sender To Receiver 1	Sender To Receiver 2	Sender To Receiver 3	Sender To Receiver 4
Sender To Receiver 5	Sender To Receiver 6		

Remittance Information

Payment Detail 1	Payment Detail 2	Payment Detail 3	Payment Detail 4
------------------	------------------	------------------	------------------

Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the bill.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage of Import Documentary Collection Liquidation process.

The tiles must display a list of important fields with values. User can drill down from respective Summary Tiles into respective data segments.

The screenshot shows the Oracle Flexcube Universal Banking interface. The main header includes the Oracle logo, 'My Tasks', and user information for SRIDHAR01. The page title is 'Import Documentary Liquidation - DataEnrichment :: Application No: 000IDCL000030383'. A navigation menu on the left lists various sections, with 'Summary' selected. The main content area displays a grid of 16 summary tiles, each representing a different data segment. Each tile contains key fields and their values, along with status indicators (green checkmarks for 'Verified' or 'Not Initia', and orange X marks for 'Not Verified'). At the bottom of the page, there is a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will move to next logical stage of Import Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Exceptions

The Import Collection Booking Liquidation request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Import Documentary Collection Liquidation Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	

Field	Description	Sample Values
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Import Documentary Collection Liquidation KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Import Documentary Collection Liquidation Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

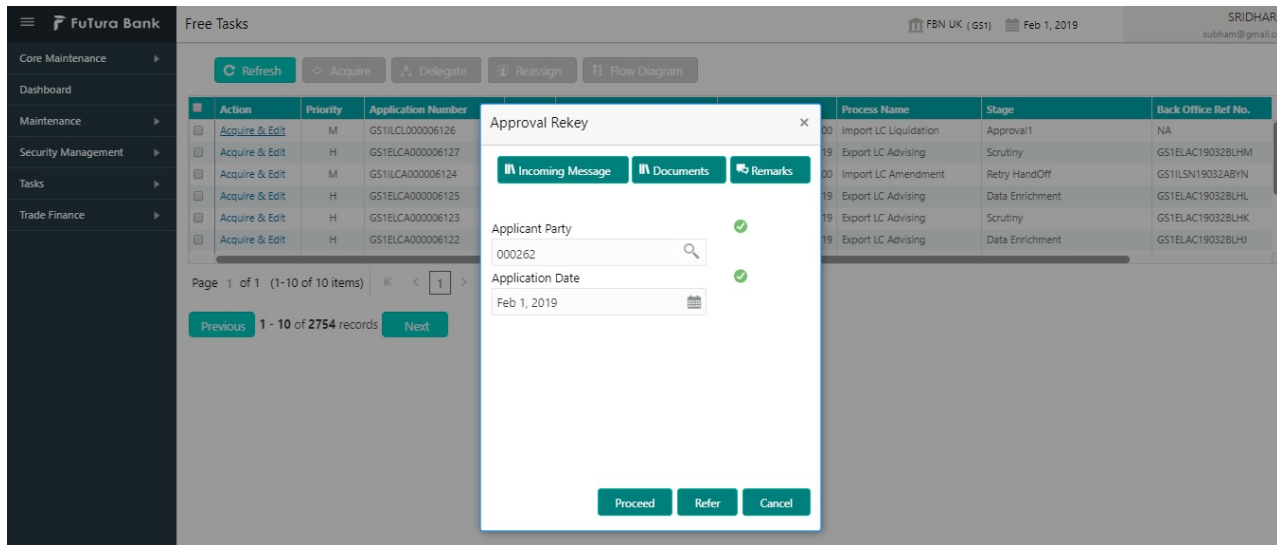
Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.



Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case of usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.

- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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